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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 14 2003

In re Patent Application of: **GROUP 3600** Docket No.: 23449-013
Joseph G. GATTO) Confirmation No.: 7177
Serial No.: 09/893,460) Group Art Unit: 3624
Filed: June 29, 2001) Examiner: Kelly Scaggs Campen
For: SECURITY ANALYST ESTIMATES)
PERFORMANCE VIEWING SYSTEM AND)
METHOD)

INFORMATION DISCLOSURE STATEMENT (IDS)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated. Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not an issue.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. 37 C.F.R. 1.97(b).

An electronic Information Disclosure Statement is being filed electronically on even date. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449.

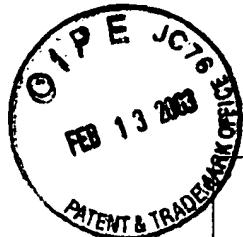
Respectfully submitted,

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Dated: February 13, 2003



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**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

Form PTO-1449

Docket Number	Application Number
23449-013	09/893,460 4 2003
Applicant(s)	
Joseph G. GATTO	
Filing Date	Group Art Unit
June 29, 2001	3624

U.S. PATENT DOCUMENTS

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	1H	http://interactive.wsj.com/public/current/articles/SB963945742428637569.htm (1/5/01)
	1I	http://interactive.wsj.com/public/current/articles/SB96394578117361937.htm (1/5/01)
	1J	http://interactive.wsj.com/public/current/articles/SB963944657420928118.htm (1/5/01)
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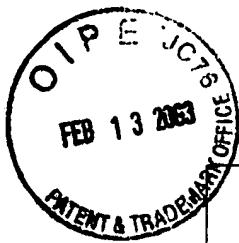
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket Number 23449-013	Examination Number 1097893-460				
		Applicant(s) Joseph G. GATTO	FEB 14 2003				
		Filing Date June 29, 2001	Group Art Unit 3624				
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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Docket Number	Application Number
23449-013	09/893,460
Applicant(s)	FEB 14 2003
Joseph G. GATTO	GROUP 3600
Filing Date	Grade A Mail
June 29, 2001	3624

U.S. PATENT DOCUMENTS

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							YES	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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GROUP 3600

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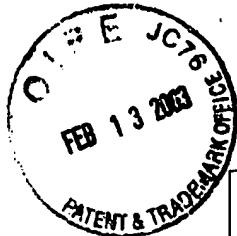
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